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# Thinking in Parallel

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# Thinking in Parallel

# Thinking

# Trivial Example

- Convert an array of strings to upper case
  - Conventional (iterative) approach
  - Streams (aggregate) approach

# Convert Array of Strings to Upper Case

```
String[] upcase(String[] input) {  
    String[] result = new String[input.length];  
  
    for (int i = 0; i < input.length; i++) {  
        result[i] = input[i].toUpperCase();  
    }  
  
    return result;  
}
```

## Convert Array of Strings to Upper Case

a

b

c

d

e



## Convert Array of Strings to Upper Case

a

b

c

d

e



A

## Convert Array of Strings to Upper Case

a

b

c

d

e



A

B



## Convert Array of Strings to Upper Case

a	b	c	d	e
A	B	C	D	

A vertical arrow points from the letter 'd' in the top row to the letter 'D' in the bottom row, indicating the conversion of lowercase to uppercase.

## Convert Array of Strings to Upper Case

a	b	c	d	e
A	B	C	D	E

A vertical arrow points from the letter 'e' in the top row to the letter 'E' in the bottom row, indicating the conversion of lowercase to uppercase.

# What Parts Are Essential?

```
String[] upcase(String[] input) {  
    String[] result = new String[input.length];  
  
    for (int i = 0; i < input.length; i++) {  
        result[i] = input[i].toUpperCase();  
    }  
  
    return result;  
}
```

*notion: same computation applied  
to every element, partially  
obscured by indexing and bounds*

*the actual computation*

# Observations

- For-loop processing
  - Sequential
  - Left-to-right ordering
- Most of this is accidental, not essential
  - Key: each upper-case computation is independent of all others
    - (this is important, stay tuned)
  - But why are they done sequentially, in order?
  - With a for loop, that's all we've got!
    - you have to do extra work to do anything else
    - enhanced-for (“for-each”) loop helps, but only a little

# Convert Array of Strings to Upper Case – Streams

```
String[] upcase(String[] input) {  
    return Arrays.stream(input)  
        .map(String::toUpperCase)  
        .toArray(String[]::new);  
}
```



Can be done in any order, or all at once!

a



A

b



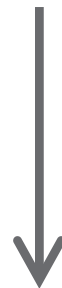
B

c



C

d



D

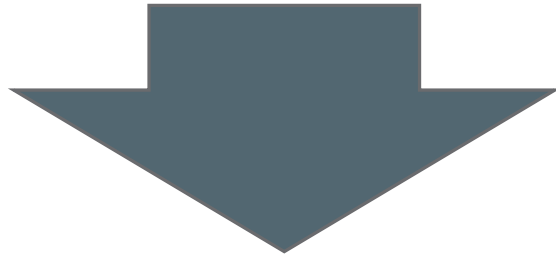
e



E

Consider as aggregate operation, not individual operations

a            b            c            d            e



A            B            C            D            E

# Which is Better?

- Streams version is better
  - more compact
  - new and cool
  - more functional
- For-loop is better
  - more efficient
  - more familiar
  - more straightforward

## Which is Better?

- Streams version is better
  - more compact
  - new and cool
  - more functional
- For-loop is better
  - more efficient
  - more familiar
  - more straightforward

***WRONG!***

## Verdict: Streams Version is Better

- Why? Higher level of abstraction
  - Expresses independence of each computation
  - Less accidental complexity, e.g., index computations
  - Implicitly operates on all elements, not individual elements
  - Focus on desired results than mechanics of computing it
- Why hasn't he said anything about parallelism yet?
  - Making it parallelizable isn't what makes it better
    - you might never want to run this code in parallel (see Brian's part)
    - but this code is still better
  - Making the code better makes it parallelizable, as a bonus

## A Less Trivial Example

- Splitting a list
  - Split at elements selected by a predicate
  - Result list should be sublists of original list (using List.subList method)
- Example
  - Split the list: `[a, b, #, c, #, d, e]`
  - At elements that equal "#"
  - Expected result: `[[a, b], [c], [d, e]]`
- Based on Stack Overflow question:
  - <http://stackoverflow.com/a/29111023/1441122>

# Thinking Through the Problem

a b # c # d e

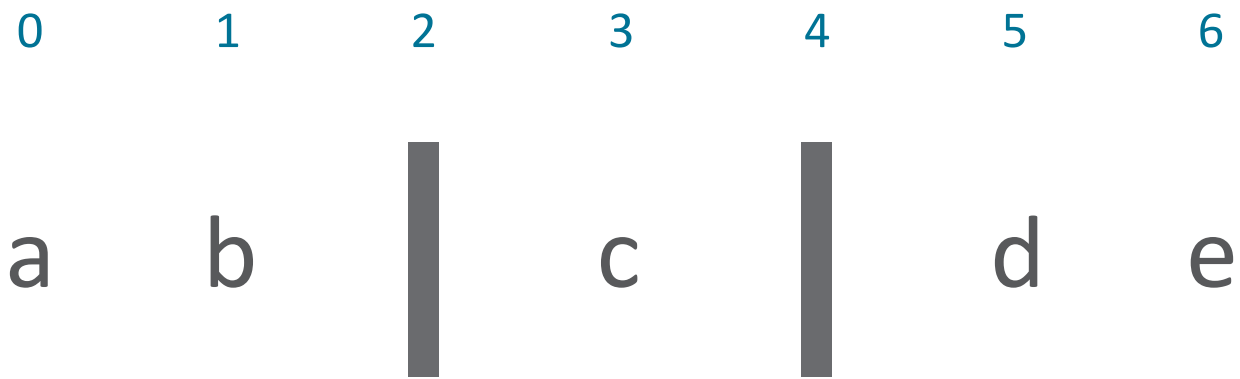
## Thinking Through the Problem

0	1	2	3	4	5	6
a	b	#	c	#	d	e

*List.subList() wants indexes,  
so conceptually, number all  
the elements*

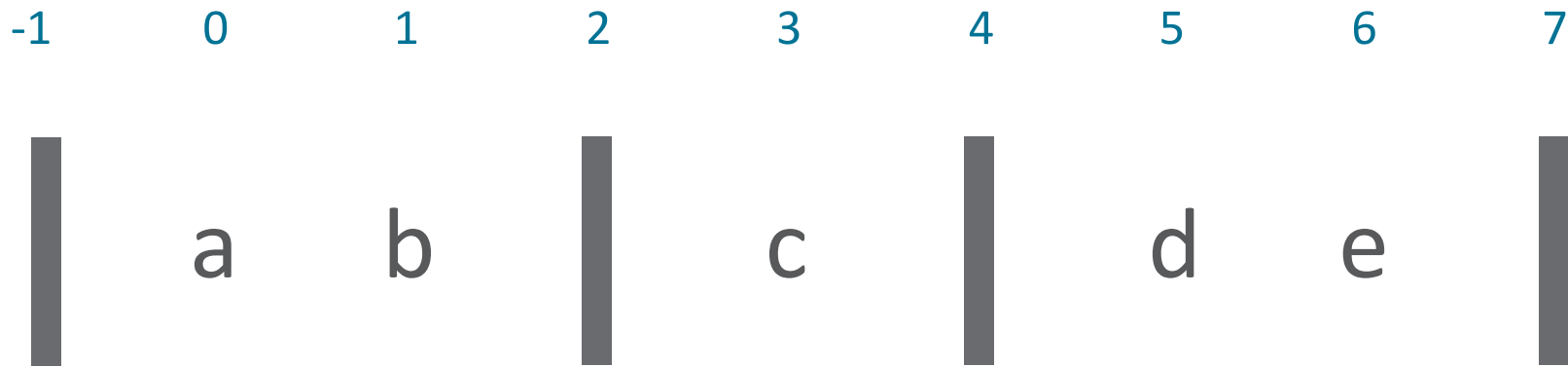


# Thinking Through the Problem



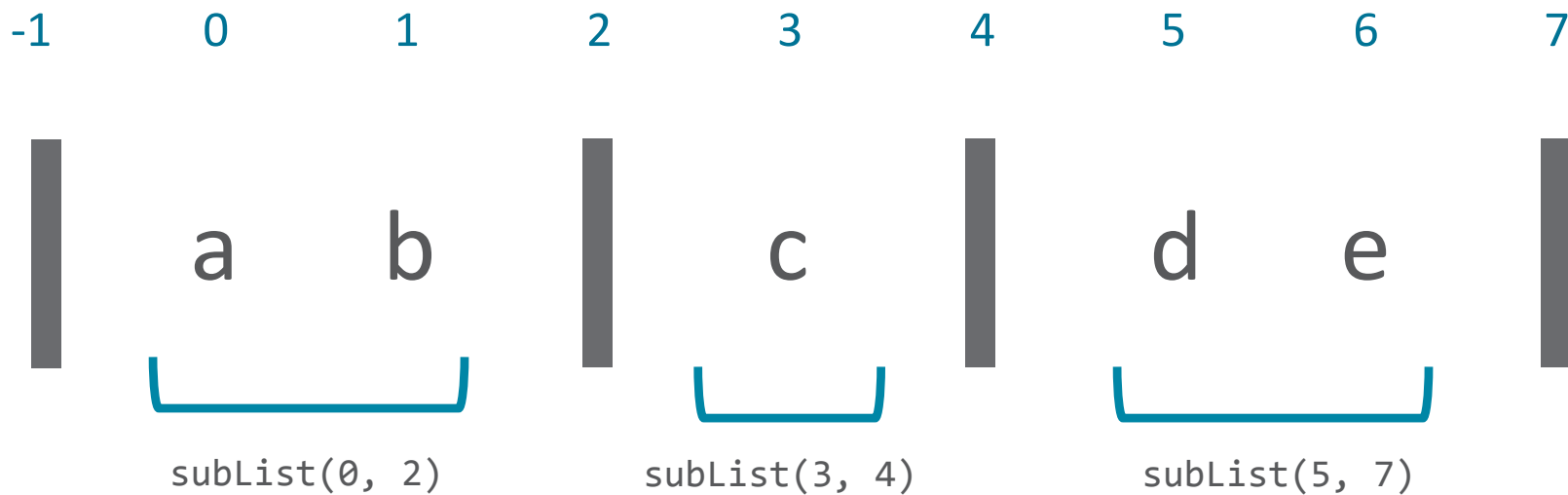
*split points are the  
edges of the sublists*

## Thinking Through the Problem



*we also need to “synthesize” split points at each end to create bounds for exterior sublists*

# Thinking Through the Problem



*each subList starts at **this** split point +1 and runs until the **next** split point (since subList is half-open on the right) implicitly handles corner cases correctly*

## Start with this method signature

```
<T> List<List<T>> split(List<T> input, Predicate<T> pred) {  
  
}
```

## Conventional Approach

```
<T> List<List<T>> split(List<T> input, Predicate<T> pred) {  
    List<List<T>> result = new ArrayList<>();  
    int start = 0;  
  
    for (int cur = 0; cur < input.size(); cur++) {  
        if (pred.test(input.get(cur))) {  
            result.add(input.subList(start, cur));  
            start = cur + 1;  
        }  
    }  
    result.add(input.subList(start, input.size()));  
  
    return result;  
}
```

## Conventional Approach

```
<T> List<List<T>> split(List<T> input, Predicate<T> pred) {  
    List<List<T>> result = new ArrayList<>();  
    int start = 0;  
  
    for (int cur = 0; cur < input.size(); cur++) {  
        if (pred.test(input.get(cur))) {  
            result.add(input.subList(start, cur));  
            start = cur + 1;  
        }  
    }  
    result.add(input.subList(start, input.size()));  
  
    return result;  
}
```

*apply predicate to  
each element*

*sublist creation*

# Conventional Approach

```
<T> List<List<T>> split(List<T> input, Predicate<T> pred) {  
    List<List<T>> result = new ArrayList<>();  
    int start = 0;  
  
    for (int cur = 0; cur < input.size(); cur++) {  
        if (pred.test(input.get(cur))) {  
            result.add(input.subList(start, cur));  
            start = cur + 1;  
        }  
    }  
    result.add(input.subList(start, input.size()));  
  
    return result;  
}
```

*initialization of result and state*

*exposed loop mechanics*

*why do we have to add one here?*

*extra addition to result list??*

## Conventional Approach – Observations

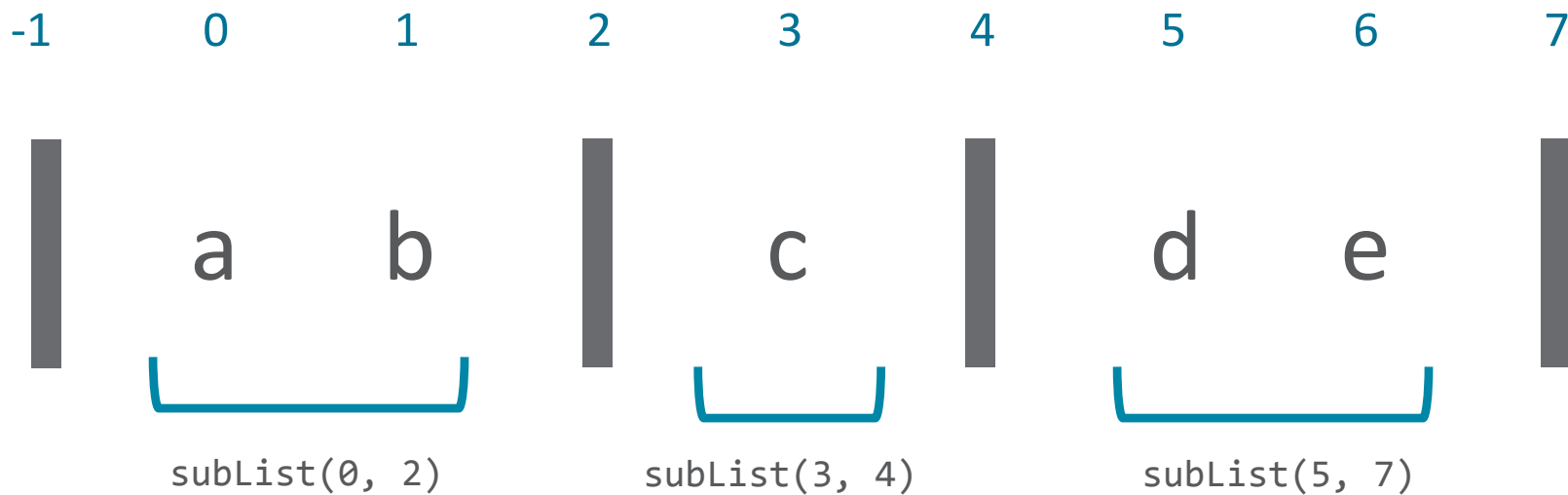
- How do we know this is correct?
  - Does this handle all the corner cases?
  - Handling of trailing sublist is treated non-uniformly
  - Reasoning about loop invariants is subtle
- Hard to see relationship between this code and the model we developed
  - Can you reverse-engineer the diagram from the code?
- Accidental data dependency
  - Each loop iteration depends on state from the previous iteration
  - ***Even though computations of split points are all independent!***



# Streams Approach

- Rethink the problem, avoiding iteration
- We are interested in indexes (because of `List.subList`)
  - Stream over indexes instead, e.g., `IntStream.range(0, last)`
  - Instead of typical stream over values, e.g., `input.stream()`
- Computation of an edge is independent of all other computations
  - Apply the predicate to each element
  - No dependencies on result of predicate on any other result
  - Contrast with the preceding looping approach

# Streams Approach



*each subList starts at **this** split point +1 and runs until the **next** split point (since subList is half-open on the right) implicitly handles corner cases correctly*

## Streams Approach – Outline

1. Filter indexes to find interior sublist edges
2. Synthesize exterior edges at each end
3. Compute sublist from *this* edge and the *next* one

# Streams Approach

```
<T> List<List<T>> split(List<T> input, Predicate<T> pred) {  
    int[] temp = IntStream.range(0, input.size())  
        .filter(i -> pred.test(input.get(i)))  
        .toArray();
```

*compute interior edges  
by applying the predicate  
to every element*

# Streams Approach

```
<T> List<List<T>> split(List<T> input, Predicate<T> pred) {  
    int[] temp = IntStream.range(0, input.size())  
        .filter(i -> pred.test(input.get(i)))  
        .toArray();
```

```
    int[] edges = new int[temp.length+2];  
    System.arraycopy(temp, 0, edges, 1, temp.length);  
    edges[0] = -1;  
    edges[edges.length-1] = input.size();
```

*Synthesize exterior edges.  
OK, we admit it, these array  
operations are ugly.*

# Streams Approach

```
<T> List<List<T>> split(List<T> input, Predicate<T> pred) {  
    int[] temp = IntStream.range(0, input.size())  
        .filter(i -> pred.test(input.get(i)))  
        .toArray();  
  
    int[] edges = new int[temp.length+2];  
    System.arraycopy(temp, 0, edges, 1, temp.length);  
    edges[0] = -1;  
    edges[edges.length-1] = input.size();  
  
    return IntStream.range(0, edges.length-1)  
        .mapToObj(k -> input.subList(edges[k]+1, edges[k+1]))  
        .collect(toList());  
}
```

*compute sublists from each edge and the one to its right*

## Quick Aside: Adjust Bounds to Synthesize Exterior Edges

```
<T> List<List<T>> split(List<T> input, Predicate<T> pred) {  
    int[] edges = IntStream.range(-1, input.size()+1)  
        .filter(i -> i == -1 || i == input.size() ||  
            pred.test(input.get(i)))  
        .toArray();  
  
    return IntStream.range(0, edges.length-1)  
        .mapToObj(k -> input.subList(edges[k]+1, edges[k+1]))  
        .collect(toList());  
}
```

# Streams Approach – Observations

- Which is better?
- Streams code is better
  - Not because it's new, cool, shorter, more functional
  - But because it's at a higher level of abstraction
- Characteristics
  - Independent computations are independent
    - No accidental dependencies are introduced
  - Problem setup treats all cases uniformly
  - Operations on aggregates, not element-at-a-time
  - No loop mechanics to worry about



## Streams Approach – Observations

- Useful technique: stream over indexes, not over elements
  - Many, but not all, problems can be solved by streaming over elements
  - If you're fighting the Streams API, try this, it might work
  - Broadly, but not universally applicable
- By the way, you can also run this in parallel!
- But, *should* you run it in parallel?

Now, over to Brian...

# Parallelism

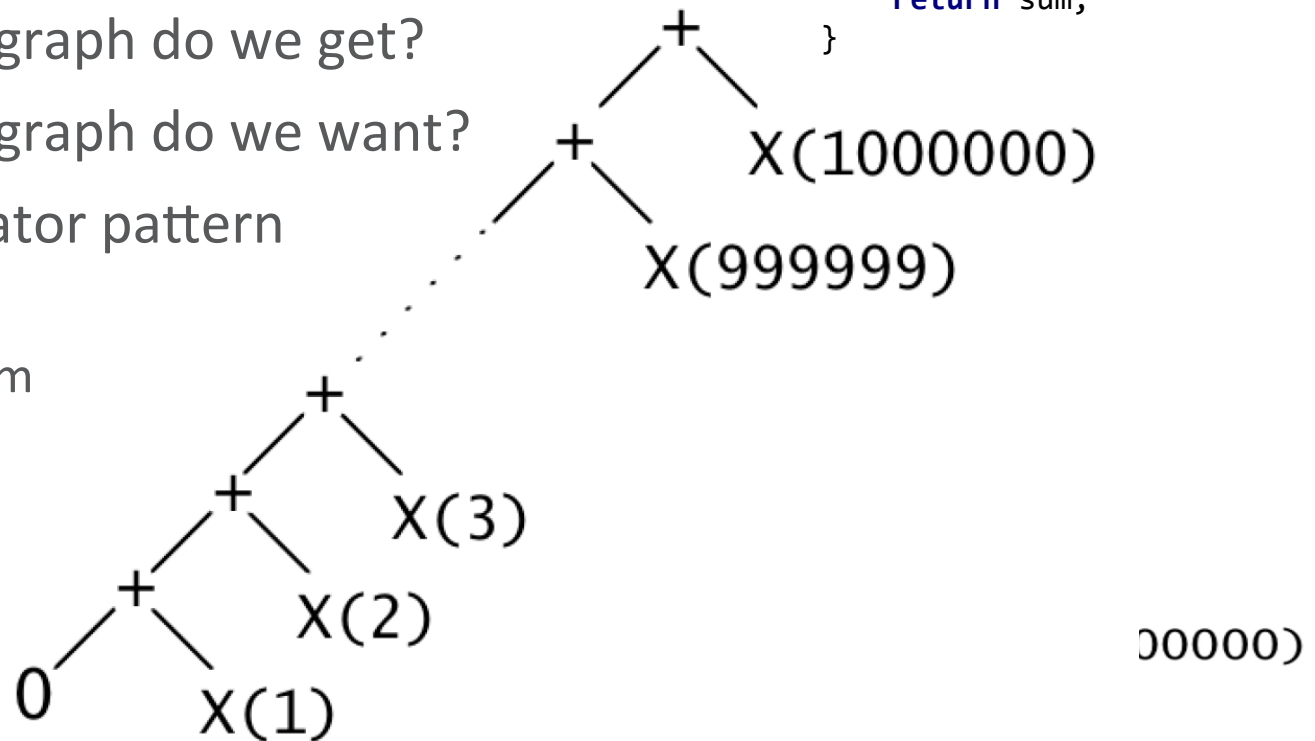
- Parallelism is about using more resources to get the answer faster
  - ***Strictly an optimization!***
  - If additional resources are not available, can still compute sequentially
- Corollary: Only useful if it really does get the answer faster!
- Just because we use more resources ...
  - Doesn't mean the computation is always faster than a sequential one
  - Or even as fast...
- Analyze → implement → measure → repeat...
  - Prefer sequential implementation until parallel is proven effective
- Measure of parallel effectiveness is *speedup*
  - How much faster (or slower) compared to sequential?

# Parallelism

- A parallel computation *always involves more work* than the best sequential alternative
  - How could it not? It still has to solve the problem!
  - And also:
    - Decompose the problem
    - Launch tasks, manage tasks, wait for tasks to complete
    - Combine results
- Parallel version always starts out “behind”
  - We hope to make up for this initial deficit by burning more resources
  - To succeed, we need
    - A parallelizable problem
    - A good implementation
    - Good runtime support for execution
    - Enough data

# Towards Parallel Computation

- Simple problem: add numbers from 1..n
- What kind of dataflow graph do we get?
- What kind of dataflow graph do we want?
- Problem #1 – Accumulator pattern
  - Need to unlearn this!
  - Impediment to parallelism



# Divide And Conquer

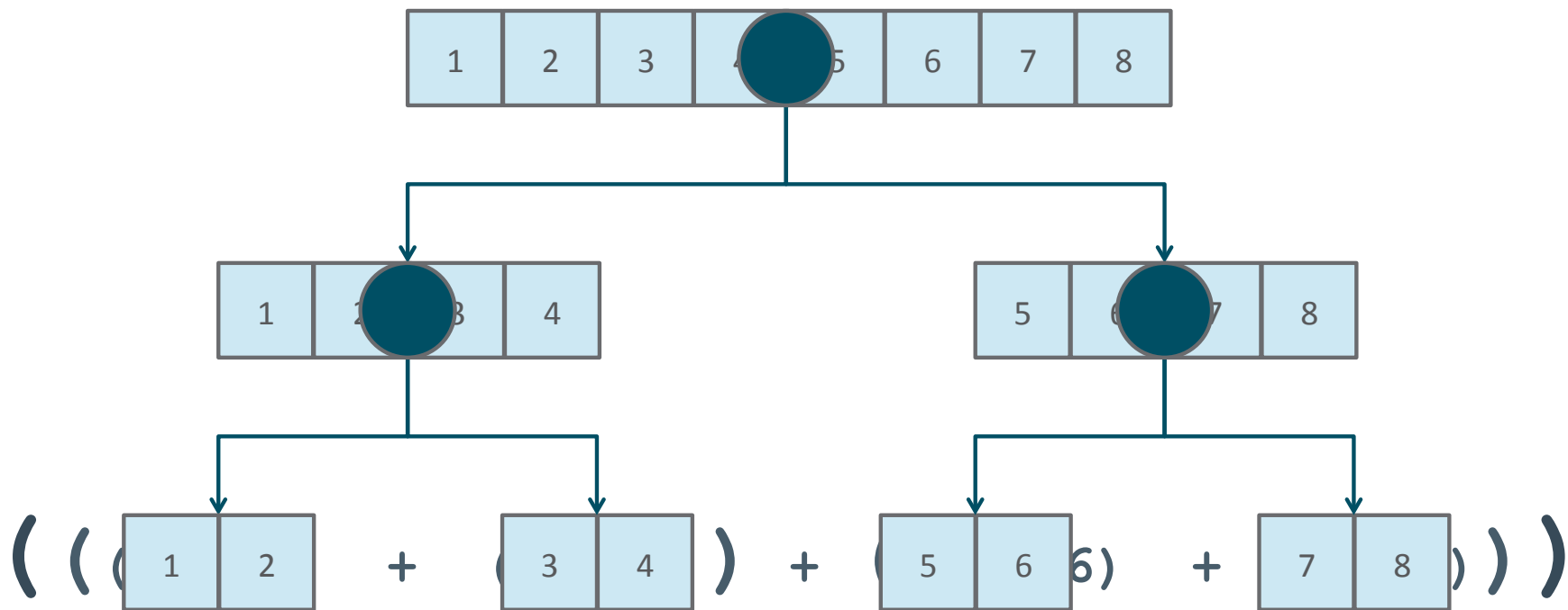
- Standard tool for parallel execution is *divide-and-conquer*
  - Partition the input into chunks that can be independently operated on
  - Recursively decompose problem until it is small enough for sequential

```
R solve(Problem<R> problem) {  
    if (problem.isSmall())  
        return problem.solveSequentially();  
    R leftResult, rightResult;  
    CONCURRENT {  
        leftResult = solve(problem.left());  
        rightResult = solve(problem.right());  
    }  
    return problem.combine(leftResult, rightResult);  
}
```

# Divide And Conquer

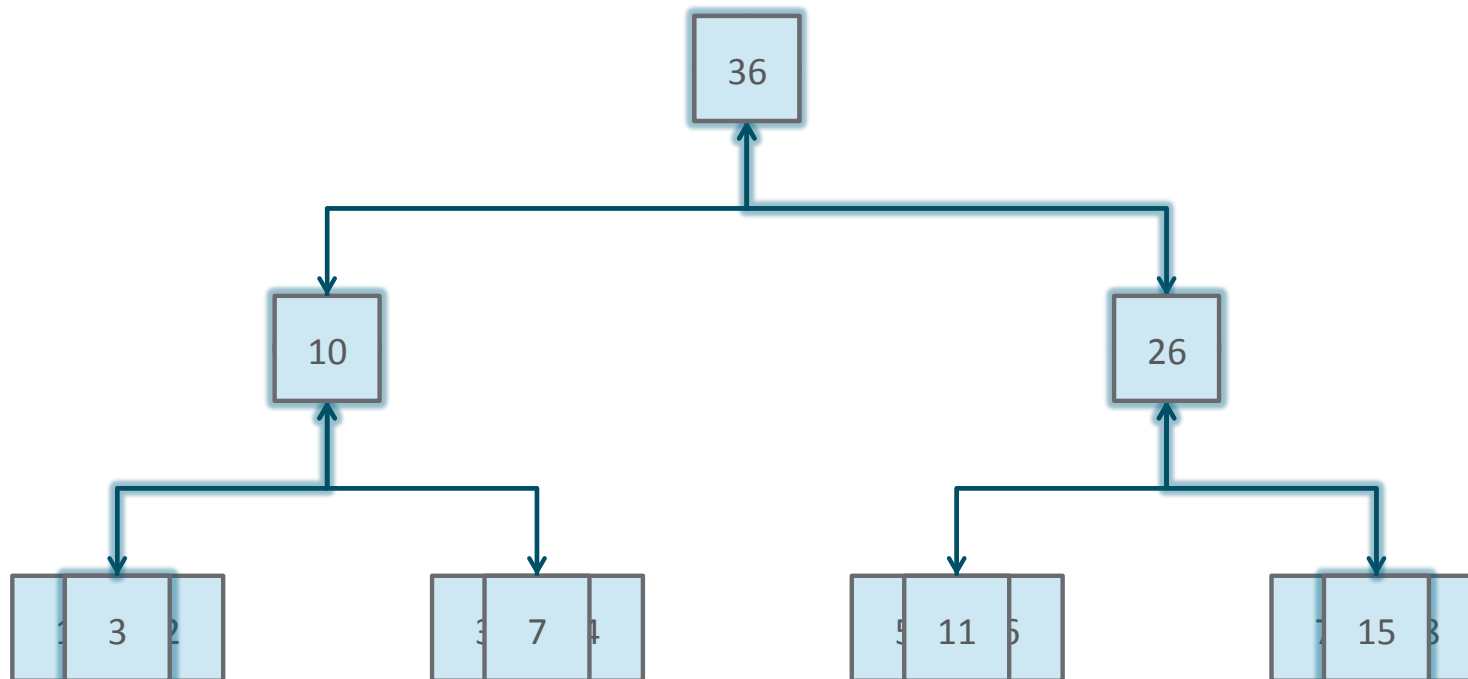
- Recursive decomposition is *simple*
  - Especially with recursively-defined data structures, like trees
  - No shared mutable state – just partitioned reading
  - Intermediate results live on the stack
- Starts forking work early!
  - Beware Amdahl's Law
- Decomposition is dynamic
  - Can incorporate runtime knowledge of core count and load
  - Portable expression of parallel computation

# Summing an array in parallel





# Summing an array in parallel



# Performance Considerations

- Splitting / decomposition costs
  - Sometimes splitting is more expensive than just doing the work!
- Task dispatch / management costs
  - Can do a lot of work in the time it takes to hand work to another thread
- Result combination costs
  - Sometimes combination involves copying lots of data
- Locality
  - The elephant in the room
- Each can steal away potential speedup!
  - In general, need a lot of data to make up for decomposition startup

# Parallel Stream Performance

- Streams is about *possibly-parallel, aggregate operations* on datasets
  - Streams are efficient, and (usually) merge computation into a single pass
  - But they are NOT magic parallelism dust!
- Still have to ensure that our problem is amenable to parallel solution
  - How easily splittable is the source?
  - How expensive is result combination?
    - Adding numbers is cheap; merging sets is expensive
  - What kind of locality does our computation get?
    - Array-based sources are best

# The NQ Model

- Simple model for parallel performance
  - N = number of data items
  - Q = amount of work per item
- Rule of thumb
  - Need  $NQ > 10,000$  to have a chance for parallel speedup
- Most simple stream examples have very low Q
  - Meaning everything else has to go well to get a speedup

# Source Splitting

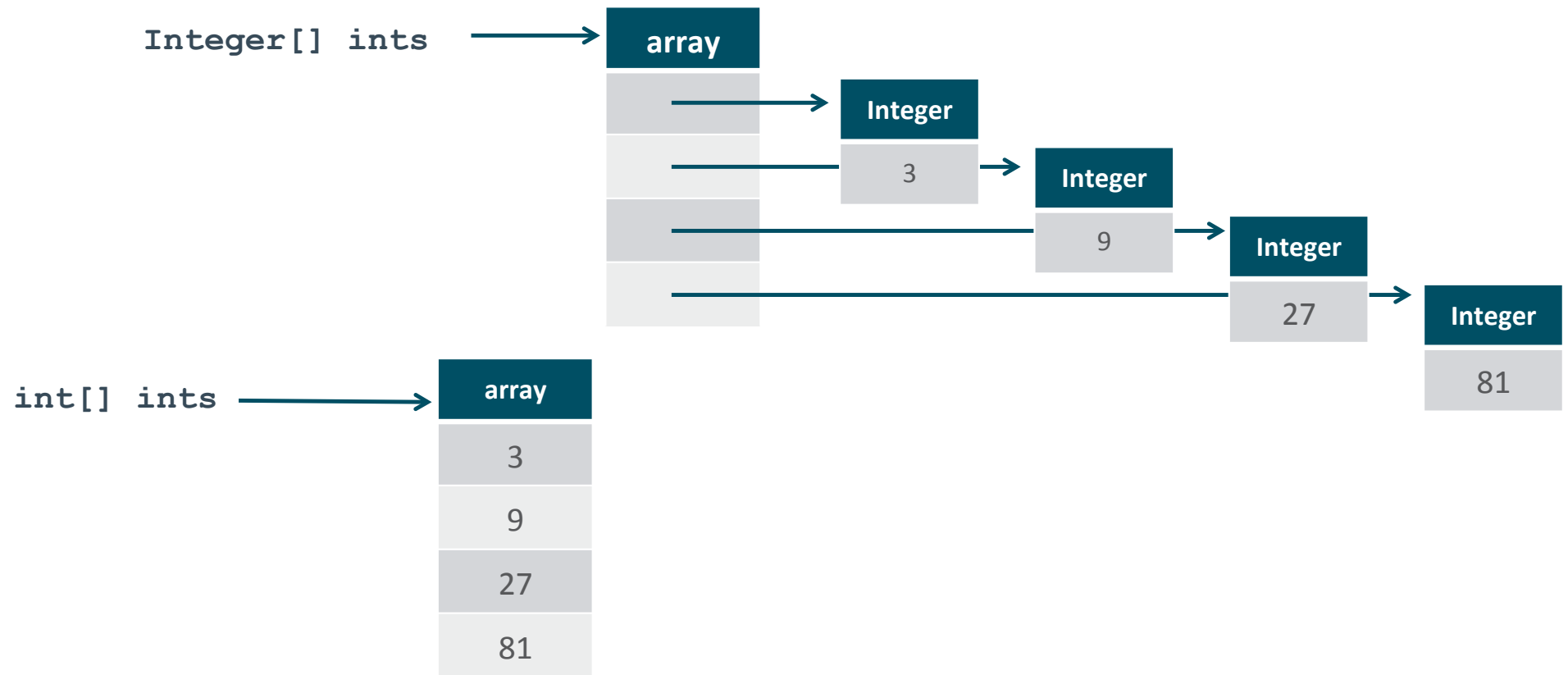
- Some sources split better than others
  - Cost of computing split
  - Evenness of split
  - Predictability of split
- Arrays split cheaply, evenly, and with perfect knowledge of split sizes
  - Linked lists have none of these properties
  - Iterative generators behave like linked lists, stateless generators behave like arrays
- Compare
  - `IntStream.iterate(0, i -> i+1).limit(n).sum()`
  - vs `IntStream.range(0, n).sum()`

# Locality

- Locality is the elephant in the room
- Parallelism wins when we can keep the CPUs busy doing useful work
  - Waiting for cache misses is not useful work
- Memory bandwidth often the limiting factor on many systems
- Array-based, numeric problems parallelize best
- Benchmark: `Stream.of(int[]).sum()` vs `Stream.of(Integer[]).sum()`
  - 8-core i7, Java SE 8, Linux

Speedup over Sequential	N=1k	N=10k	N=1M
int	1x	6.2x	7.9x
Integer	(4.9x)	1.5x	3.5x

# Locality



# Encounter Order

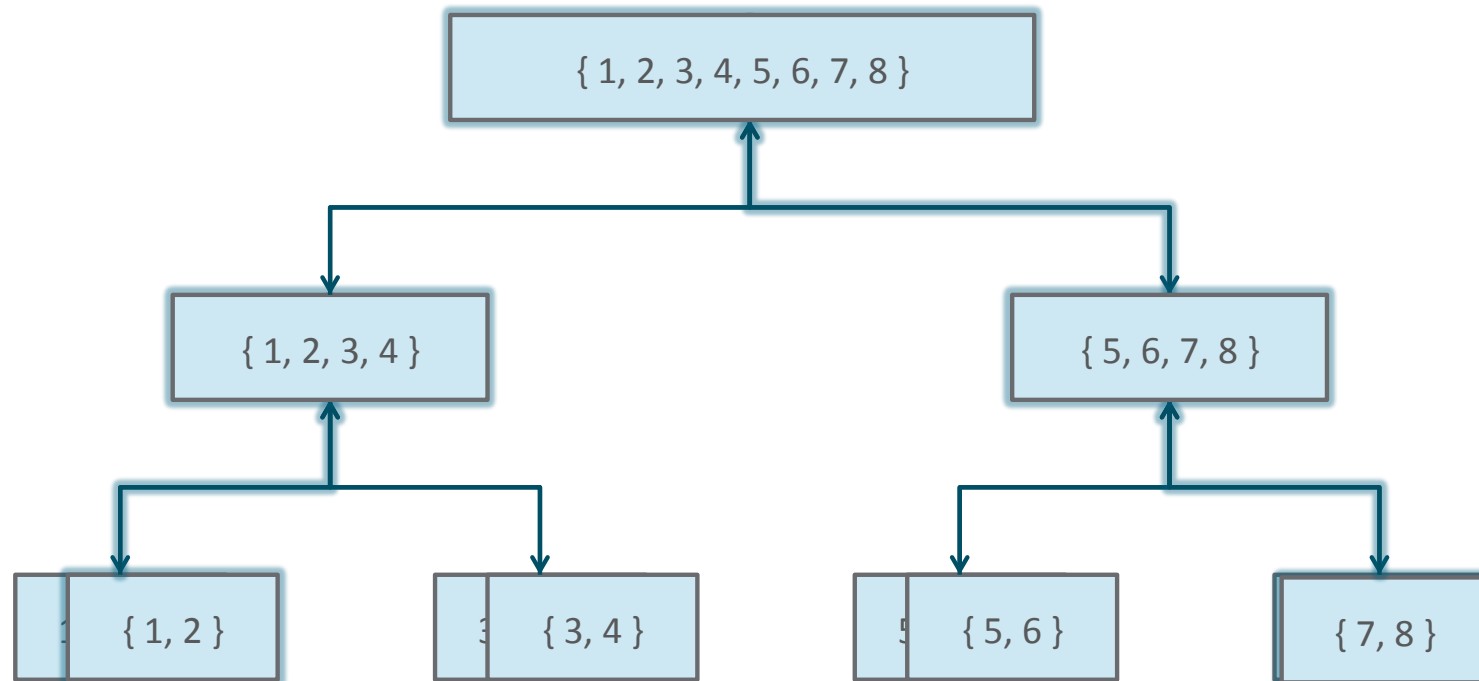
- Some operations have semantics tied to *encounter order*
  - Encounter order is the order implied by the source
  - Some sources have no defined encounter order (e.g., HashSet)
  - Operations like `limit()`, `skip()`, and `findFirst()` are tied to encounter order
  - Less exploitable parallelism
- Sometimes the encounter order is meaningful, sometimes not
  - Call `.unordered()` to indicate encounter order is not meaningful to you
  - Ops like `limit()`, `skip()`, and `findFirst()` will optimize in the presence of unordered sources



# Merging

- For some operations (sum, max) the merge operation is really cheap
- For others (groupingBy to a HashMap) it is insanely expensive!
  - Involves a lot of copying
  - And repeatedly, up the tree
  - Cost of merging overwhelms the parallelism advantage
- Measuring `IntStream.range(0, n).collect(toSet())...`
  - For `n=10K`, approximately 4x *slowdown* going parallel

# Merging a set in parallel



# Parallel Streams

- Any of the following factors can conspire to undermine speedup
  - NQ is insufficiently high
  - Cache-miss ratio is too high (too many indirections)
  - Source is expensive to split
  - Result combination cost is too high
  - Pipeline uses encounter-order-sensitive operations

# Summary

- Streams are cool!
- Parallelism is cool!
- But... parallelism is an optimization
  - And parallel streams are not magic performance dust
- Before optimizing, always ...
  - Have actual performance requirements
  - Have reliable performance measurements (not easy!)
  - Ensure that your performance doesn't meet requirements

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